

ARIZONA DEPARTMENT OF TRANSPORTATION

ENGINEERING CONSULTANTS SECTION

REQUEST FOR QUALIFICATIONS PACKAGE

CONTRACT NUMBER: 2026-008

ON-CALL ALTERNATIVE PROJECT DELIVERY AND VALUE METHODOLOGY ADMINISTRATION CONSULTANT(S)

STATEWIDE LOCATIONS



"An Equal Opportunity Agency"

The Arizona Department of Transportation, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252.42 U.S.C. §§ 2000d-4) and the Americans with Disabilities Act (ADA), hereby notifies all bidders that it will affirmatively ensure that any contract entered into pursuant to this advertisement, disadvantaged business enterprises will be afforded full and fair opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, national origin in consideration for an award.

Persons that require a reasonable accommodation based on language or disability should contact ADOT's Engineering Consultants Section by phone (602) 712-75259. Requests should be made as early as possible to ensure the State has an opportunity to address the accommodation.

Las personas que requieran asistencia (dentro de lo razonable) ya sea por el idioma o discapacidad deben ponerse en contacto con ADOT (602) 712-7525.

SEPTEMBER 2025

REQUEST FOR QUALIFICATIONS PACKAGE
ADOT CONTRACT NUMBER: 2026-008
ON-CALL ALTERNATIVE PROJECT DELIVERY AND VALUE METHODOLOGY ADMINISTRATION CONSULTANT(S)
STATEWIDE LOCATIONS
ADOT PROJECT NUMBER: VARIOUS

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SECTION I - PUBLIC ADVERTISEMENT

**FOR PUBLICATION on Wednesday, September 24, 2025 and Wednesday, October 1, 2025
IN THE ARIZONA REPUBLIC NEWSPAPER**

ARIZONA DEPARTMENT OF TRANSPORTATION (ADOT)/ENGINEERING CONSULTANTS SECTION/PUBLIC NOTICE FOR **ON-CALL ALTERNATIVE PROJECT DELIVERY AND VALUE METHODOLOGY ADMINISTRATION CONSULTANT(S)/STATEWIDE LOCATIONS**/CONTRACT NUMBER: **2026-008**/ADOT PROJECT NUMBER: **VARIOUS**/Statements Due: **October 15, 2025, 2:00 P.M.** Arizona (Phoenix) Time/ADOT is accepting Statements of Qualifications (SOQs) from Consultants to assist ADOT throughout the procurement and construction administration process/The Request for Qualifications (RFQ) Package for Contract 2026-008 is available on the ECS website <https://azdot.gov/business/engineering-consultants/upcoming-advertisements>/ADOT is an Affirmative Action/Equal Opportunity Employer/Para Español hable (602-712-7525).

SECTION II – GENERAL INSTRUCTIONS

REQUEST FOR QUALIFICATIONS
FOR CONSULTANTS INTERESTED IN
ON-CALL ALTERNATIVE PROJECT DELIVERY AND VALUE METHODOLOGY ADMINISTRATION CONSULTANT(S)
ADOT CONTRACT NUMBER: 2026-008
ADOT PROJECT NUMBER: VARIOUS

Statements Due: October 15, 2025, 2:00 P.M. Arizona (Phoenix) Time

All format requirements, submittal guidelines, instructions and documentation submission contained in this RFQ Package are for the ADOT Contract Number. SOQ submittals failing to follow the format, submittal guidelines or any other instructions outlined in this RFQ Package shall be rejected.

As format instructions and guidelines for each advertisement/RFQ Package vary, read this RFQ Package carefully. The ECS Consultant Contract Manual (ECS Manual) governs the ECS Qualification Based Selections (QBS) process and this ECS solicitation, selection and negotiation process; however the requirements and instructions in this RFQ supersede the ECS Manual. The ECS Manual governs in all matters silent in this RFQ.

ADOT, also referred to as the Department, throughout this RFQ Package, reserves the right to reject any and all SOQs or cancel the advertisement, negotiations or contract at any time in the best interest of the State.

SOQs will be accepted from any prime Consultant prequalified through ECS and properly registered with the [Arizona Board of Technical Registration](#) (BTR) at the time the SOQ is submitted to ECS. This contract does require a Principal, Officer of the Firm or Project (Contract) Manager responsible for this contract that is properly registered with the BTR at the time of SOQ submittal. It is the prime Consultant's responsibility to verify that all Subconsultants, in the SOQ submittal, have the proper Arizona licenses and/or registrations, and Disadvantaged Business Enterprise (DBE) certification, if applicable, for the services to be performed under this contract.

Consultants downloading the RFQ are required to register to receive courtesy notifications of RFQ Amendments, deadline changes or any other contract information. Amendments are posted on the ECS website with the original RFQ. The proposers are responsible for checking the ECS website for amendments. A courtesy email may be sent to all firms on the contract advertisement registration list, but this does not relieve the proposers from their responsibility to check the ECS website for amendments prior to the SOQ due date. Failure by ECS to send courtesy email notifications regarding amendments or non-receipt by the Consultant are not grounds for protest. Any Amendments issued as part of an RFQ shall be signed and included in the SOQ submittal. Failure to do so shall result in rejection of the proposal. See Sections IV and V for further instruction.

The selected prime Consultant(s) shall assist ADOT throughout the procurement and construction administration process. These firms will be utilized in various capacities such as: pre-construction services, issue resolution, construction cost analysis, schedule analysis, alternative delivery analysis, selection of construction manager at risk contractors, value methodology and other related activities. The consultant will attend pertinent meetings with ADOT and selected contractors, and will advise and support the Department in negotiations.

ADOT may select up to five prime Consultants from among those submitting SOQs for further consideration. Previous experience in alternative project delivery and value methodology administration will be a factor in the selection. SOQs submitted by prime consultants will be evaluated and selected based on the criteria outlined in Sections V and VI of this RFQ. Requested services are further detailed in the Scope of Work (SOW) located in Section XXIV of this RFQ. Individual Task Order project assignments will be assigned as outlined in Section VII of this RFQ. Consultants are to submit as either a prime Consultant or a Subconsultant. Submission as both is not permitted. Failure to comply with this requirement will result in the disqualification of all prime Consultants that list the same Subconsultant that also submitted as a prime Consultant. This restriction remains in effect for the duration of the term of the contract.

A firm proposing as a prime Consultant is not permitted to serve as a Subconsultant on another contract within the contract series. By submitting an SOQ as a prime Consultant, the firm is stating that they will not be proposed on any other project team as a Subconsultant. In addition, it is the responsibility of the firm proposing as a prime Consultant to ensure that prior to their submittal of their SOQ, they have written acknowledgement from their proposed subconsultants that the subconsulting firms will not submit an SOQ themselves as a prime Consultant (copies of the written acknowledgement shall be kept on file, and available to submit to ECS upon request).

Any firm that has submitted an SOQ as a prime Consultant and is identified as a subconsultant in another prime Consultant's proposal shall have their prime Consultant SOQ submission rejected. If a prime Consultant lists firms as Subconsultants in its SOQ, the prime Consultant must be able to provide ADOT with evidence, if requested, of written consent provided by the Subconsultant firms that are listed as part of their prime Consultant's SOQ, or that prime Consultant's SOQ will be rejected.

This contract is established for one year but may include an option to renew the contract for four additional one-year extensions at ADOT's sole discretion. After the third year of the contract, no new tasks shall be assigned without the ECS Manager's written approval, and when it is determined to be in the best interest of the State. ADOT makes no guarantee as to the amount of work that will be required, or assigned, during the term of this contract.

This contract has been established as **Race Neutral with a 0.00% DBE Goal**. The Compensation Type for this contract will be Cost Plus Fixed Fee by Task Order. The non-negotiable Fixed Fee for this contract, and any applicable extensions, has been established at 10%.

Title VI/Non-Discrimination

The Arizona Department of Transportation, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (252, 42 U.S.C. §§ 2000d to 2000d-4) and the Regulations, hereby notifies all proposers that it will affirmatively ensure that any contract entered into pursuant to this advertisement, Disadvantaged Business Enterprises (DBE) will be afforded full and fair opportunity to submit proposals in response to this invitation and will not be discriminated against on the grounds of race, color, or national origin in consideration for an award.

Definitions

Due

Indicates when something must be received by ADOT, regardless of when it was sent. ECS utilizes the time stamp indicated in the ecssoq@azdot.gov mailbox.

May

Indicates something that is not mandatory but is permissible.

Must

Indicates a mandatory requirement. Failure to meet these requirements, if they constitute a substantive requirement, shall, at ADOT's sole discretion, result in the rejection of a SOQ as non-responsive.

Shall

Indicates a mandatory requirement. Failure to meet these requirements, if they constitute a substantive requirement, shall, at ADOT's sole discretion, result in the rejection of a SOQ as non-responsive.

Should

Indicates something that is recommended but not mandatory. If the Consultant fails to provide recommended information, ADOT may, at its sole option, ask the Consultant to provide the information or evaluate the SOQ without the information.

Will

Indicates a mandatory requirement. Failure to meet these requirements, if they constitute a substantive requirement, shall, at ADOT's sole discretion, result in the rejection of a SOQ as non-responsive.

There will be no pre-submittal meeting associated with this solicitation.

Effective the date of the first public advertisement of this contract, no further contact is allowed with **any** ADOT, Maricopa Association of Government (MAG), or Federal Highway Administration (FHWA) personnel concerning this project except for questions of an administrative or contractual nature that shall be submitted in writing to the email address below. This restriction is in effect until the selection has been announced.

RFQ Questions and SOQ Submittal Instructions

Questions, in writing, shall be received by ECS until **Tuesday, October 7, 2025 at 2:00 P.M. Arizona (Phoenix) Time**. No further questions shall be accepted after the time specified. All Consultants will be notified of any Consultant's request for information and ECS' response(s) to the question(s). RFQ Amendments, deadline changes or any other contract information shall be posted on the

ECS website as an Amendment to the RFQ. Any Amendments issued as part of this RFQ package shall be signed and included by the Consultant in the SOQ submittal. Failure to do so shall result in rejection of the SOQ. See Section IV and V for further instructions. Any violation of the contact restrictions may be grounds for rejection of the prime Consultant's SOQ.

Engineering Consultants Section
Email: ECSSOQ@azdot.gov

Submit SOQs expressing interest in the above referenced project following ECS SOQ Submittal Instructions found in **Section XII** until 2:00 P.M. Arizona (Phoenix) Time on the date shown above. No SOQs shall be accepted after the date and time specified. Hard copies of SOQs shall not be accepted. Oral interviews will not be held in the selection process.

Prime Consultant Prequalification with ECS

Submission of the SOQ requires the prime Consultant is pre-qualified with ECS. **Failure to prequalify with ECS will result in SOQ rejection.**

A listing of current Prequalified Consultants, by firm name and by firm discipline, can be found on the ECS website, [Consultant Prequalification](#).

If the prime Consultant's name **is not listed** on the spreadsheet, the Consultant **is not** currently pre-qualified with ECS and the Consultant cannot submit an SOQ. Consultants who intend to submit an SOQ for this proposed contract shall successfully submit a prequalification application to ECS no later than September 30, 2025 at 2:00 P.M. Arizona (Phoenix) Time.

Complete instruction for prequalification can be found on the Consultant Prequalification page on the ECS website [Consultant Prequalification](#). Any submissions for Prequalification with ECS received **after September 30, 2025 at 2:00 P.M. Arizona (Phoenix) Time are not guaranteed to be reviewed by the SOQ due date**. For questions or further clarification regarding the ECS Prequalification, contact the ECS Front Desk at E2@azdot.gov.

If the prime Consultant's name is listed, they are prequalified with ECS.

Key Personnel

The Consultant's submittal of an SOQ is a representation and commitment by the firm that it will provide the key personnel identified in the SOQ to perform the services associated with the contract for the duration of the contract. It is the responsibility of the submitting Consultant to determine which positions and/or persons that are considered Key Personnel. Any person named in the submittal (in any section with the exception of Project Principal/Officer of the Firm) by the submitting Consultant shall be considered Key Personnel, including subconsultants. For all Key Personnel identified in the SOQ, the Consultant shall include the individual's existing and company responsibilities, company/corporate titles and document their availability and commitment to meeting ADOT's needs performed under this contract. The Consultant acknowledges that the Department relied on this representation and commitment in its selection process and was a key factor in the selection of the most qualified Consultant and award of the contract.

Key Personnel are those individuals whose qualifications are highly significant and appropriate in evaluating the overall qualifications of the project team. All Key Personnel identified in the Consultant's SOQ may not be replaced without written request to ADOT's assigned Contract Project Manager and written approval by ECS. The prime Consultant shall identify the Key Personnel, which shall include, at a minimum:

1. The Consultants Project (Contract) Manager in direct charge of the overall project/contract work.
2. The Consultants Construction Cost Estimator, Construction Schedule Reviewer, Independent Construction Expert, Value Engineering Team Lead and Risk Assessment Key Team members

The prime Consultant shall provide the resumes for the above listed classifications and any other personnel identified by name in their SOQ. Each resume shall be limited to two pages each, and shall demonstrate the individual's experience related to services outlined in this RFQ. The SOQ may also identify other key members of the team, including other personnel (classifications identified in Attachment A of the Scope of Work) determined by the Consultant. These are personnel from both the prime Consultant and Subconsultants who the Consultant wishes to highlight in the submittal that may provide special expertise or perform critical task(s) on the project. Failure to include resumes of Key Personnel identified in the SOQ will result in rejection of the submitted SOQ as non-responsive. Resumes included for other members of the team, that are not named in the submittal, will count towards the overall page limit, regardless of the location these documents are placed in the SOQ.

The Consultant Project Principal or Officer of the Firm responsible for contractual matters will not be considered Key Personnel.

Contract Specific Direction Regarding Standards of Conduct and Conflict of Interest

Consultants shall refer to Section XXIII of this RFQ for ADOT's contract specific direction regarding Standards of Conduct and Conflict of Interest, including use of supplemental services Consultants and temporary technical engineering personnel on this contract.

Prime Consultants and Subconsultants participating on this Contract shall arrange their affairs so as to prevent Conflicts of Interest from arising and shall undertake reasonable due diligence, including organizational and personnel conflict searches, to determine if actual, potential or perceived Conflicts of Interest exist or arise. Due diligence should extend to the investigation of past relationships and, if the Proposer being investigated is an entity, to officers or directors of the Firm. If a prime Consultant or Subconsultant becomes aware of an actual, potential, or perceived Conflict of Interest at any time during the solicitation or participation in this Contract, the Consultant shall promptly disclose the matter in writing to ADOT, including a written description of the action the Consultant has taken or proposes to take to avoid or mitigate such conflicts. If a conflict of interest is determined to exist, ADOT may, at its sole discretion, cancel the procurement, disqualify the Consultant with a conflict or take other action as necessary to mitigate the conflict. If a conflict of interest that the Proposer knew about, or should have known about, but failed to disclose, is determined to exist during the procurement process or contract, the Department may, at its sole discretion, disqualify the Proposer or terminate the contract. Failure to comply with these requirements will result in the disqualification of the prime Consultant's SOQ (including any affiliates) or termination of the contract.

Consultants shall familiarize themselves with ADOT's Conflict of Interest policies, including ECS Consultant Contract Manual (Section 1.08 – Standards of Conduct and Conflict of Interest) which will apply to the Consultant's organizational and personnel activities.

ADOT Audit Requirements

As required by Federal regulations, during the negotiation stage of the contracting process, the Engineering Consultants Section (ECS) will request the ADOT Office of Audit and Analysis (Audit and Analysis) to conduct a review of proposed indirect cost (overhead) rates or unit rates for the selected prime Consultant(s) and its Sub-consultant(s) (Consultants). Consultants are required to comply with requests for supporting documentation from Audit and Analysis. Supporting documentation should be readily available upon request by Audit and Analysis to ensure an efficient review process.

Supporting documentation may include:

- **Unit Rate Reviews** - Client invoices, fee schedules, calculation breakdowns, and any other relevant information.
- **Indirect Cost Rate Reviews** - Consultants that propose on an indirect cost rate basis are required to provide all documentation listed on page 1 of the *American Association of State Highway and Transportation Officials (AASHTO) – Internal Control Questionnaire (ICQ)*. All requested documentation shall be available for review by Audit and Analysis **no later than six months from the completion of the Consultant's preceding fiscal year-end**. For example, a Consultant with a fiscal year end of December 31st, shall have required documentation available no later than June 30th.

Additional information and supporting documentation may be requested once a Consultant has been engaged for review. Non-compliance with the above requirements shall be considered failed negotiations unless waived in writing by ADOT.

If you have questions about the review process, please refer to the Audit and Analysis website at <https://azdot.gov/about/audit-and-analysis>.

Additional Requirements

The approved Labor Classification List, and associated definitions and qualifications, can be found on the ECS Website. ECS will negotiate rates for the labor classifications identified in the solicitation based upon definitions and qualifications documented in the approved Labor Classification List https://azdot.gov/sites/default/files/2019/06/fy13_ecs_labor_classification_list_w_definitions.pdf and in accordance with Federal cost principles. Labor classifications proposed by a consultant other than those identified in this RFQ, or at a higher classification than those identified in this RFQ, must be approved by ADOT and rates for those classifications will be negotiated based on definitions and qualifications documented in the approved Labor Classification List and in accordance with Federal cost principles.

To standardize the Labor Classifications ADOT allows for all projects, the list of labor classifications anticipated to be used for the proposed contract is listed on **Attachment A** in the Scope of Work.

The selected prime Consultant(s) and applicable Subconsultant(s) may be required to attend a Pre-Negotiation meeting and shall bear the cost of their time.

The SOQ submitted by the selected firm(s) will be made available on the ECS website to all interested parties, after the selection announcement. Copies of SOQs submitted by non-selected firms will not be distributed or available for review by any party at any time. Any reproduction including, but not limited to, copying and photographing of the winning SOQ(s) **is not permitted**. Interested parties that did not propose (submit SOQs) for this contract are not permitted or entitled to protest the Department’s selection.

All selected prime Consultant(s) shall be required to establish a local office in the State of Arizona **prior** to the Notice to Proceed (NTP) date if one does not already exist.

Professional liability insurance is required.

The boilerplates for all ECS contracts are non-negotiable.

Partnerships (joint-ventures) are not allowed.

All materials submitted in accordance with this solicitation become the property of the State of Arizona.

Inclusion of cost, work-hour and/or plan-sheet estimates in the SOQ is not allowed.

ADOT is an Affirmative Action/Equal Opportunity Employer.

ADOT Contract No. 2026-008 –On-Call Alternative Project Delivery and Value Methodology Administration Consultant(s) will replace the existing ADOT Contract No. 2021-011 On-Call Alternative Project Delivery and Value Methodology Administration Consultant(s). Task Orders for services will continue to be assigned to the ADOT Contract 2021-011 contract series until any of the new ADOT Contract 2026-008 on-call contracts are executed and Notice to Proceed for that contract is issued. Upon the execution of any of the ADOT Contract No. 2026-008 on-call contracts, all new Task Orders for **On-Call Alternative Project Delivery and Value Methodology Administration Consultant(s)** services will be assigned and performed under the terms of this contract and no new task orders will be assigned to any of the existing ADOT Contract No. 2021-011 on-call contracts. All existing Task Orders that have been executed on the existing 2021-011 On-Call Contract series prior to the NTP date of any of the new 2026-008 On-Call Contracts will be honored and the Consultant will be permitted/expected to complete their work associated with that Task Order assignment.

Selection Process through Contract NTP Schedule

ADOT is committed to the selection, procurement and contract schedule and will require firms to actively participate and meet the scheduled milestones. Listed below is the proposed schedule; however it is subject to change without notice at the sole discretion of ADOT. Any changes that will affect the SOQ submittal date will be communicated to potential Consultants via amendment posted to the ECS website. Changes to other dates listed will be discussed with the successful firm for this contract.

- 1st Newspaper Advertisement Date: September 24, 2025
- 2nd Newspaper Advertisement Date: October 1, 2025
- Prequalification Application Due (if not approved): September 30, 2025
- Final Questions Due: October 7, 2025 at 2:00 P.M. (Arizona (Phoenix) Time)
- SOQ Submittal Date: October 15, 2025 at 2:00 P.M. (Arizona (Phoenix) Time)
- Estimated Selection Date: November 21, 2025
- Initial Cost Proposal Due Date: December 12, 2025
- Estimated Contract Notice to Proceed Date: February 16, 2026

It is the expectation that all firms selected for this contract actively participate throughout the negotiation process. Failure of a firm to not submit documentation in a timely manner or be responsive to questions, comments, or required revisions may result in failed negotiations.

SECTION III – DISADVANTAGED BUSINESS ENTERPRISE PROGRAM
ADOT CONTRACT NUMBER: 2026-008

Disadvantaged Business Enterprises

The Arizona Department of Transportation (hereinafter referred to as ADOT), has established a Disadvantaged Business Enterprises (DBE) Program in accordance with the regulations of the U.S. Department of Transportation (USDOT), [49 CFR Part 26](#). ADOT has received federal financial assistance from the U.S. Department of Transportation and as a condition of receiving this assistance (hereinafter referred to as “federally-funded” or “federal-aid”), ADOT has signed an assurance that it will comply with 49 CFR Part 26.

It is the policy of ADOT to ensure that DBEs, as defined in 49 CFR Part 26, have an equal opportunity to receive and participate in federally-funded contracts. It is also ADOT’s policy to:

1. Ensure nondiscrimination in the award and administration of federally-funded contracts;
2. Create a level playing field on which DBEs can compete fairly for federally-funded contracts;
3. Ensure that the DBE program is narrowly tailored in accordance with applicable law;
4. Ensure that only firms that fully meet 49 CFR Part 26 eligibility standards are counted as DBEs;
5. Help remove barriers to the participation of DBEs in federally-funded contracts;
6. Assist in the development of firms that can compete successfully in the marketplace outside the DBE program; and
7. Promote the use of DBEs in all types of federally-funded contracts and procurement activities.

It is also the policy of ADOT to facilitate and encourage participation of Small Business Concerns (SBCs), as defined in Appendix C of this contract. ADOT encourages prime Consultants to take reasonable steps to eliminate obstacles to SBCs’ participation and to utilize SBCs in performing contracts.

The Federal regulations require a recipient of federal highway funding to implement an approved DBE Program that consists of establishing a statewide DBE utilization goal and using race-neutral means to the maximum feasible extent to achieve the goal. Where race-neutral measures prove inadequate to achieve the goal, the State is required to use race-conscious measures, such as a DBE participation goal for individual contracts.

ADOT has established an overall annual goal for DBE participation on Federal-aid contracts. ADOT intends for the goal to be met with a combination of race-conscious efforts and race-neutral efforts. Race-conscious participation occurs where the prime Consultant uses a percentage of DBEs to meet a contract-specified goal. Race-neutral efforts are those that are, or can be, used to assist all small businesses or increase opportunities for all small businesses. The regulation, [49 CFR Part 26](#) defines race neutral as when a DBE wins a prime contract through customary competitive procurement procedures or is awarded a subcontract on a prime contract that does not carry a DBE contract goal.

This contract has been designated as a Race-Neutral Contract and has an established DBE Goal of 0.0%. Prime Consultants are encouraged to obtain DBE participation above and beyond the goal on this contract.

DBE Reporting

ADOT is required to collect data on all DBE participation to report to FHWA, whether or not there is a stated DBE goal on the contract. Prime Consultants should refer to Sections 4.33, 4.47 and Appendix C of the contract for information on DBE reporting requirements. Payment reporting requirements apply to all contracts, federal and non-federal funded. Accurate reporting is needed to track DBE participation.

To count toward meeting the goal, DBE Consultants and Subconsultants must be certified at the time of the Cost Proposal submission in each NAICS code applicable to the kind of services proposed in the SOQ submittal. DBE Consultants and Subconsultants performing work for services for which they are not certified will not be counted towards the DBE goal. Furthermore, proposing DBE Consultant, or Small Business Concern (SBC) Consultant or Subconsultants to provide services they are not certified in may negatively impact the prime Consultant’s score. To confirm the firm’s DBE certification and work categories the firm is certified to perform, visit the AZ UTRACS website *System* ([AZ UTRACS](#)) or contact ADOT Business Engagement and Compliance Office (BECO) at (602) 712-7761.

Prime Consultants and Subconsultants are **required to register** their firms in [AZ UTRACS](#). Prime Consultants shall specify the anticipated role of **all** certified DBE firms who will participate as Subconsultants in this contract and shall be noted in eCMS’ *Consultant Information Page (CIP)*, *Subconsultants* subsection. The DBE Subconsultants’ experience and their role in the contract shall also be explained in SOQ **Section V**, Part C (Evaluation Criteria), 3.b. (Relevant firm experience of Key Subconsultants). eCMS

does not track the DBEs used as direct expense vendors; therefore, do not enter the DBE direct expense vendors into eCMS as Subconsultants when submitting SOQs.

Additionally, all proposers shall create a Bidders/Proposers List in the AZ UTRACS by selecting all firms, services providers, and vendors that expressed interest or submitted proposals or quotes for this contract. The Bidders/Proposers List form must be completed and must include the names for all subconsultants, service providers, and vendors that submitted proposals or quotes on this project regardless of the proposer's intentions to use those firms on the project. All proposers must complete and submit the Bidders/Proposers List online at AZ UTRACS prior to the Statement of Qualifications submittal. **A confirmation email will be generated by the system, which must be included with the Statement of Qualifications of the prime Consultant.**

****Failure to submit the required Bidders/Proposers list confirmation email from BECO with the statement of qualifications of the prime consultant shall be cause for the proposer's SOQ to be rejected.****

Before the first Payment Report/Invoice is submitted to ECS, the prime Consultant is required to logon to the ADOT DOORS Online Reporting System <https://adotdoors.dbesystem.com/> and enter the name, contact information, and subcontract budget amounts for all DBE and non-DBE Subconsultants and direct expense vendors performing **any** work on the project.

Prime Consultants shall submit a payment report on a monthly basis, per Section 4.0 of the contract, indicating the amounts earned by and paid to all Subconsultants, lower-tier Subconsultants and direct expense vendors working on the contract in the manner detailed in the Progress Payment Report (PPR) format for the contract. *All DBE and non-DBE Subconsultants, lower-tier Subconsultants and direct expense vendors shall confirm their payments received through the ADOT DBE System.* The prime Consultant may credit second-tier subcontracts issued to DBEs by non-DBE Subconsultants. Any second-tier subcontract to a DBE used to meet the goal shall meet the requirements of a first-tier DBE subcontract.

Fostering Small Business Participation

[49 CFR Part 26.39](#) also requires that ADOT's DBE Program includes an element to incorporate contracting requirements to facilitate participation by Small Business Concerns (SBCs) in federally-assisted contract procurements for prime Consultants and Subconsultants. SBCs are for-profit businesses registered to do businesses in Arizona that meet the Small Business Administration (SBA) size standards for average annual revenue criteria for its primary North American Industry Classification System (NAICS) code.

While the SBC component of the DBE Program does not require utilization of goals on projects, **ADOT strongly encourages prime Consultants to utilize small businesses on their contracts** that are registered in AZ UTRACS, in addition to DBE meeting the certification requirement. Visit AZ UTRACS at <https://utracs.azdot.gov/> to search for certified DBEs and registered SBCs that can be used on this contract. However, SBCs that are not DBEs will not be counted toward the DBE contract goal.

Assurances of Non-Discrimination

The prime Consultant, sub-recipient, or subconsultant shall not discriminate on the basis of race, color, sex or national origin in the performance of this contract. The prime Consultant shall carry out applicable requirements of [49 CFR Part 26](#) in the award and administration of federally-funded contracts. Failure by the prime Consultant to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as ADOT deems appropriate, which may include, but not limited to:

1. Withholding monthly progress payments;
2. Assessing sanctions;
3. Liquidated damages;
4. Disqualifying the prime Consultant from submitting SOQs, or any other forms of proposals, as non-responsible;
5. Cancellation, termination, or suspension of the Contract, in whole or in part.

The prime Consultant, sub-recipient, or subconsultant shall ensure that all subcontract agreements contain this non-discrimination assurance.

SECTION IV – SOQ FORMAT INSTRUCTIONS
ADOT CONTRACT NUMBER: 2026-008

The total page limit is **16 pages** for the SOQ submittal. All SOQs shall be submitted via email to ECSSOQ@azdot.gov. Hard copies of SOQ proposals are not accepted.

1. Prime Consultants shall follow the applicable submittal instructions found in Section XII. The SOQ proposal submitted must be one PDF file and shall not exceed 15MB. Only **one (1)** PDF file is permitted per submittal.
2. Format – Follow the exact format outlined in **Section V**, as formats for each advertisement/RFQ Package may vary. Failure to follow the format as outlined in this RFQ shall result in rejection of the SOQ.
3. Number of Pages – Number of pages shall not exceed the page limit specified above, beginning with the Introductory Letter and ending with the last page. **Failure to follow the page limit specified in the RFQ shall result in rejection of the SOQ. Pages, forms, documents, divider pages and attachments that are not specifically required will be counted towards the page limit.**
4. Page Parameters – A page is defined as an 8½ x 11-inch, blank or printed. All proposal pages are counted from beginning to end to arrive at the maximum allowable page limit stated in the RFQ Package. All pages including covers, table of contents, tables, figures, photographs, divider sheets, maps, etc. are counted as pages.
5. Print and Font Size – ECS strongly recommends that Consultants use a 10-point or larger font for the body of the proposal and that the SOQ is legible and easy to read. Proposal scores may be adversely affected if SOQs are not legible or the font size is too small to read by the Selection Panel members.
6. Video or Multimedia Applications – No video clips or other multimedia applications are allowed. Failure to adhere to the guidelines shall result in rejection of the SOQ.
7. Attachments – The SOQ will require attachments but these shall not be included in the page count. See Section V, Part D for a detailed list of requested attachments.
8. Amendments – Any amendments issued as part of this RFQ Package shall be signed and included in the SOQ submittal and shall not count toward the page limit. Consultants should check the ECS website, *Current Advertisements* page prior to submitting the SOQ proposal, print all amendments from the *Current Advertisements* page of the ECS website for the relevant project, sign the amendment(s) acknowledging receipt and append it to the SOQ proposal before submitting the completed document. **Failure to include all pages of each issued Amendment; signed and dated, in the submitted SOQ shall result in rejection of the SOQ.** Do not add additional pages, forms, documents, and attachments, including blank pages in this section that are not specifically listed or requested in the RFQ, as these shall count toward the page count and shall cause the proposal to be rejected. Extra divider sheets, including pages of any sort, blank or printed (regardless of the printed material on the page), separating the main proposal from amendments should also not be included as this shall be counted as a page.
9. Commenting or User Rights Feature – Enable the Commenting or User Rights Feature before uploading the SOQ. This SOQ will be reviewed electronically by the Selection Panel. Adobe Professional Version 7 or above *may* be used for this purpose
10. **SOQ Submission**
 - a. Submit the SOQ via email to: ECSSOQ@azdot.gov.
 - b. Prime Consultant Prequalification

Submission of the SOQ requires the prime Consultant is pre-qualified with ECS and the completion of the Consultant Information Page (CIP). **Failure to prequalify with ECS will result in SOQ rejection.**

A listing of current Prequalified Consultants, by firm name and by firm discipline, can be found on the ECS website, [Consultant Prequalification](#).

If the prime Consultant's name is **not listed** on the spreadsheet, the Consultant is **not** currently pre-qualified with ECS and the Consultant cannot submit an SOQ. Consultants who intend to submit an SOQ for this proposed contract shall successfully submit a prequalification application to ECS no later than **September 30, 2025 at 2:00 P.M. Arizona (Phoenix) Time.**

Complete instruction for prequalification can be found on the Consultant Prequalification page on the ECS website [Consultant Prequalification](#). Any submissions for Prequalification with ECS received after September 30, 2025 at 2:00 P.M. Arizona (Phoenix) Time are not guaranteed to be reviewed by the SOQ due date. For questions or further clarification regarding the ECS Prequalification, contact the ECS Front Desk at E2@azdot.gov.

If the prime Consultant's name is listed, they are prequalified with ECS.

- c. ECS will retrieve proposals after the due date and time; therefore ECS will not notify firms of any missing information or errors related to their SOQ proposals before the due date. Furthermore, ECS staff is not permitted to delete pages or alter the contents of submitted proposals for any reason.

11. The SOQ proposal shall follow the exact format outlined below:

	FORMAT CONTENT	MAXIMUM POINTS	TOTAL NUMBER OF PAGES
PART A	INTRODUCTORY LETTER (Page 1)		1
PART B	SOQ PROPOSAL CERTIFICATIONS FORM (15-point checklist) (Page 2)		1
	PARTICIPATION IF BOYCOTT OF ISRAEL FORM (Page 3)		1
	FORCED LABOR OF ETHNIC UYGHURS BAN FORM (Page 4)		1
PART C	EVALUATION CRITERIA		12
	1. Contract Understanding & Approach	45	
	2. Contract Team	35	
	3. Firm Capability	20	
	4. Past Performance	0 thru -5	
PART D	ATTACHMENTS (Required but shall <u>not</u> count toward page limit)		
	1. Key Personnel Resumes Only: Identified in the SOQ (each resume shall not exceed 2 pages)		
	2. SOQ Bidder's/Proposer's Solicitation List Confirmation Email (from BECO)		
PART E	AMENDMENTS (Required but shall <u>not</u> count toward page limit)		
PART F	CONSULTANT INFORMATION PAGES (CIP) (Required but shall <u>not</u> count towards page limit)		
	TOTALS	100	16

SOQ submissions failing to follow all instructions outlined above and the applicable SOQ guidelines shall be rejected. The Consultant will be notified in writing of the reason(s) for rejection.

SECTION V – SOQ FORMAT AND EVALUATION CRITERIA
ADOT CONTRACT NUMBER: 2026-008

The following describes more specifically, the content of each part.

PART A. Introductory Letter

The Introductory Letter shall be the **first page** of the SOQ and shall be addressed to:

Arizona Department of Transportation
Engineering Consultants Section
205 S. 17th Avenue, Mail Drop 616E
Phoenix, Arizona 85007

The Introductory Letter should be no longer than **one page** and shall contain the following items:

1. An expression of the prime Consultant's interest in being selected for the project.
2. A statement confirming the commitment of key personnel identified in the submittal to the extent necessary to meet ADOT's quality and schedule expectations.
3. Provide the name and Professional Engineer's registration number of the prime Consultant Principal, Officer of the Firm or Project (Contract) Manager responsible for this contract that is properly registered with the BTR at the time the SOQ is submitted to ECS.
4. A summary of key points regarding the prime Consultant's qualifications.
5. Indicate whether or not the Consultant is a certified DBE. DBE Consultants and Subconsultants must be certified for the services proposed in the SOQ Submittal.
6. Signature of at the Consultant's Principal, an Officer of the firm, or another authorized SOQ signer indicated in the Consultant's most current prequalification application.

PART B. SOQ Proposal Certifications Form, Participation in Boycott of Israel – Consultant Certification Form, and The Forced Labor Of Ethnic Uyghurs-Consultant Certification Form

The *SOQ Proposal Certifications Form* (15-point checklist) shall be the **second page** of the SOQ. The certification statements are to ensure that prime Consultants are aware and in agreement with required Federal, State and ECS guidelines related to the award of this contract. The *SOQ Proposal Certifications Form* (15-point checklist) shall be signed by the prime Consultant's Principal, an Officer of the firm, or another the authorized SOQ signer as indicated in the Consultant's most current prequalification application. It is the prime consultant's responsibility to keep the list of authorized SOQ signers from the most current prequalification up to date. Signature on this document by an individual not listed in the consultant's most current prequalification application will result in rejection of the SOQ as non-responsive. Failure to sign and submit the correct SOQ Proposal Certifications Form (15-point checklist) located in Section XIII shall result in the SOQ proposal being rejected.

The *ADOT Participation in Boycott of Israel – Consultant Certification Form* shall be the **third page** of the SOQ. Use the link in Section XX to review, print out, complete and sign the ADOT Participation in Boycott of Israel – Consultant Certification Form, which shall be signed by the prime Consultant's Principal, an Officer of the firm, or another authorized SOQ signer as indicated in the Consultant's most current prequalification application. Failure to sign and submit the certification form located in Section XX shall result in the SOQ proposal being rejected.

The *ADOT Forced Labor of Ethnic Uyghurs Ban – Consultant Certification Form* shall be the **fourth page** of the SOQ. Use the link in Section XXI to review, print out, complete and sign the ADOT Forced Labor of Ethnic Uyghurs – Consultant Certification Form, which shall be signed by the prime Consultant's Principal, an Officer of the firm, or another authorized SOQ signer as indicated in the Consultant's most current prequalification application. Failure to sign and submit the certification form located in Section XXI shall result in the SOQ proposal being rejected.

PART C. Evaluation Criteria

The qualifications and associated evaluation criteria shall begin on the **fifth page** of the SOQ. The SOQ proposal will be reviewed and scored based on the responses to the information requested. Follow the format in the discussion of qualifications and number responses to each category and subcategory exactly as they are listed below:

1. Contract Understanding and Approach (Maximum 45 points)

- a) Discuss generally the tasks involved in this contract. Identify any special issues or problems that are likely to be encountered. Demonstrate clearly and concisely your understanding of the technical and institutional elements for which your Firm must deal with in this contract. (15 points)
- b) Outline your proposed approach for dealing with the tasks and potential issues of this contract. (15 points)
- c) Provide a tentative schedule indicating the duration and functional relationship of major tasks and key events. Discuss strategies to avoid or make up any slippage of the schedule. A graphical depiction may be included to describe the schedule. (10 points)
- d) Outline your proposed approach and methodology for Value Analysis, Risk Assessment and Value Engineering. (5 points)

2. Contract Team (Maximum 35 points)

Provide a summary of experience and qualifications of each key team member, including Subconsultants. In particular, discuss the following:

- a) Project Principal. Identify the person who (1) will be responsible for ensuring that adequate personnel and other resources are made available for this contract; (2) will handle contractual matters, and; (3) will be ultimately responsible for the quality and timeliness of the prime Consultant's performance. State that person's position and authority within the Firm. Discuss previous similar projects and contracts for which this person has performed a similar function (5 points).
- b) Project (Contract) Manager. Identify who will actively manage this contract. Identify any projects and contracts that person will be involved with concurrently and time committed to each project and contracts. List recent similar projects and contracts for which this person has performed a comparable function. Discuss relevant experience, professional registrations, education and other components of qualifications applicable to this contract. (3 points)
- c) Project Engineer(s) and/or Other Key Personnel. Identify other members of the Project Team including all Subconsultants who will provide special expertise or will perform key tasks. Describe their anticipated roles. Discuss their relevant experience, registration, education and other elements of qualification applicable to this contract. (12 points)
- d) Specify who will be responsible for following roles and that person's relative experience on similar projects: (8 points)
 - i. Construction Cost Estimator
 - ii. Construction Schedule Reviewer
 - ii. Independent Construction Expert
- e) Risk Assessment: Specify the key team members that will be conducting Risk Assessment and essential training and/or experience that uniquely supports their approach and methodology of Risk Assessment. (4 points)
- f) On a matrix for each key team member identified, provide the following: (3 points)
 - 1) List key team member and professional registration number
 - 2) Role of the key team member on this project
 - 3) Percentage of time specifically anticipated on this project
 - 4) List other projects each key member is currently working on or committed to in other proposals and percentage of time assigned/committed to those projects
 - 5) Location from which they will work on this project
 - 6) Role of the person on similar projects (not to exceed 2 projects)
 - 7) For each project identified, list Consultant contract value, and project owner

3. Firm Capability (Maximum 20 points)

- a) Discuss the Consultant's recent relevant experience, which should include at least five (5) projects and/or contracts of comparable character, size, budget and complexity and indicate clearly whether that experience was as a prime Consultant or Subconsultant. **The projects listed may include no more than two (2) projects that reflect the individual experience of the Firm's owners (5% or more) when they were employed by or owned other firms.** For each project and/or contract identified, provide the following: (6 points)

- 1) Description of the project or contracts
- 2) Role of the Firm (Identify the work performed e.g., design, project management, General Engineering Consultant (GEC), etc.)
- 3) Key staff involved in the project or contract
- 4) Prime Consultant contract amount for three to five projects or contracts
- 5) Project owner

(Note: Subconsultant's experience shall be noted in Section 3.b below.)

- b) Discuss recent relevant firm experience of your key Subconsultants. Describe any notable expertise, increase in capacity or other special capabilities of your Subconsultants (including DBEs and SBCs) that are critical to your proposal. (5 points)
- c) Provide the number of years the prime Consultant has been in business and briefly discuss the prime Consultant's financial and human resource capacity to complete a project of similar size and complexity to that of this project. Discuss quantitatively how this project would impact the current and anticipated workload of the office, which will perform this work. If "staffing up" will be necessary, discuss which areas and how that would be accomplished. (5 points)
- d) Describe your internal quality control procedures and indicate how your quality program would enhance the development of this contract. (4 points)

4. Past Performance (Maximum of up to 5 points may be deducted from the total score)

Consultants' past performance on ADOT contracts will be determined based on the Consultants' **final** evaluation history for contracts executed **after July 1, 2010**. Up to five (5) points will be deducted from the Consultant's scores during the selection process on performance factors of evaluation for projects a firm has completed for the Department over the most current one year timeframe. More information about the Consultant Evaluation Program Guidelines can be found in Section XVI.

ADOT will apply the past performance scores once the Selection Panel has completed its scoring and has determined the firms' final average score. ADOT will deduct points, if applicable, from the final average score for each firm based on performance ratings listed below:

Performance rating of 1 or 2 on 1 - 2 evaluation factors	-1 Point
Performance rating of 1 or 2 on 3 - 4 evaluation factors	-2 Points
Performance rating of 1 or 2 on 5 - 6 evaluation factors	-3 Points
Performance rating of 1 or 2 on 7 - 8 evaluation factors	-4 Points
Performance rating of 1 or 2 on 9 or more evaluation factors	-5 Points

PART D. Attachments

Attached the required documents listed below. These documents will not count towards the page limit. **Failure to include requested documents shall result in the SOQ being rejected. Do not add additional pages, forms, documents, and attachments, including blank pages in this section that are not specifically listed or requested in the RFQ, as these shall count toward the page count and shall cause the proposal to be rejected.** Extra divider sheets, including pages of any sort, blank or printed (regardless of the printed material on the page), separating the main proposal from attachments should also not be included as this shall be counted as a page.

1. Key Personnel Resumes: Resumes of Key Personnel identified in the SOQ (Project (Contract) Manager) are required. Each resume shall not exceed two pages each. Do not include any additional pages as identified in Section IV, Number 8, Section V, Part D, and further defined in Section IV, Number 4, separating the resumes from the main proposal or separating more than one resume being submitted. Do not include resumes for non-Key Personnel (individuals not identified by **name** in the SOQ as Key Personnel) as these pages will count towards the overall page limit, regardless of the location these documents are placed in the SOQ.
2. The *SOQ Bidder's/Proposer's Solicitation List confirmation email* from ADOT Business Engagement and Compliance Office (BECO) shall be included in Part D, Attachments, in the SOQ. Inclusion of this document in any other location of the SOQ will cause this document to be included in the overall page count. See Section VIII-DBE Program Information and Forms for a link to the AZ UTRACS website.

In accordance with [49 CFR 26.11](#), ADOT is required to create and maintain a *Bidder's/Proposer's Solicitation List* to capture accurate data regarding the universe of DBE, non-DBE, and Small Business Concerns (SBC) Consultants and Subconsultants who expressed interest or were solicited to work on this contract. Proposers **must** complete the required information by listing each Subconsultant that (1) prime Consultant directly solicited to be a part of this contract, (2) contacted the prime Consultant expressing interest in this contract and (3) prime Consultant ultimately proposes to utilize on this contract. ADOT **BECO** will review this form to ensure compliance with 49 CFR 26.11 and provide the prime Consultant with an email confirming receipt/successful submittal. This confirmation email is the "SOQ Bidder's/Proposer's Solicitation List Confirmation Email" which shall be included in Part D: Attachments of the prime Consultants SOQ. Firms may be contacted for clarification or additional information. **Failure to include the confirmation email from **BECO** and submit it with the SOQ proposal shall result in rejection of the SOQ proposal.** See Section VII – DBE SOQ Submittal Requirements and Program Information and Forms.

PART E. Amendments

Attach a signed copy of all amendments issued as part of this RFQ. Amendments are not included in the page count. **Failure to include all pages of the issued Amendments with a signature in the submitted SOQ shall result in the SOQ being rejected.** See Section IV for further instruction. Extra sheets/pages, including pages of any sort, blank or printed (regardless of the printed material on the page), separating the main proposal from amendments should also not be included as this shall be counted as a page.

PART F. Consultant Information Pages (CIP)

The Consultant Information Page (CIP) (containing the CIP, Subconsultant(s) Table, DBE Goal Assurance/Declaration and SOQ Submittal Checklist) should be submitted with your firm's SOQ. If the pages are missing from the SOQ, ECS will make an additional request for the CIP via email. If the CIP is not received by the deadline stated in email, the SOQ will then be rejected. See Section XXII for further instruction.

Ensure all subconsultants are listed in the ECS Subconsultant database: [eCMS Subconsultant Database](#). If a Subconsultant's name is **not** in the eCMS database, email ECS at E2@azdot.gov with the firm name, address, City, State and Zip Code. Allow two business days to have the Subconsultant added to eCMS.

The completed CIP pages shall be the **very last pages of the SOQ**. Placement of the CIP in any other location of the SOQ may result in the page(s) being counted towards the stated page limit. Do not add additional pages, forms, documents, divider sheets, or attachments with the CIP that are not specifically requested as these shall count toward the page count and could cause your proposal to be rejected.

SECTION VI – Panel Ranking Form

Panel Ranking Form
ADOT Contract No.: 2026-008

FIRM NAME_____#_____ PANEL MEMBER_____#_____

1. CONTRACT UNDERSTANDING AND APPROACH (Maximum 45 points)

- a. How well has the consultant expressed an understanding of the nature and scope of the contract and the major tasks and issues that will need to be addressed? (15 points)

Points _____

- b. How well has the consultant identified and dealt with the major tasks and issues of the contract? How well has the consultant demonstrated their understanding of the Alternative Delivery methods available to, and commonly utilized by ADOT (15 points)

Points _____

- c. How realistic and timely are the tentative schedules presented for Design Build and CMAR? How well has the consultant presented strategies to avoid or make up any slippage in the schedule? (10 points)

Points _____

- d. How well has the consultant demonstrated their understanding of Value Analysis, Risk Assessment and Value Engineering? (5 points)

Points _____

CONTRACT UNDERSTANDING AND APPROACH TOTAL POINTS _____

2. CONTRACT TEAM (Maximum 35 points)

- a. What is the level of ability and experience of the proposed Project Principal? What is the person's record of ensuring adequate personnel and other resources are available to perform on contracts. What is the persons past record in quality and timeliness other projects and contracts? **(5 points)**

Points ____

- b. What is the level of ability and experience of the proposed Project Manager? What is this person's record of accomplishing similar projects or contracts in the past in terms of (1) quality of work, (2) meeting schedules, and (3) responsiveness to special needs and concerns of the client? To what degree does the Project Manager demonstrate availability and commitment to the contract and eventual projects that will be assigned? **(3 points)**

Points ____

- c. What level of expertise do other key personnel of the contract team provide to deal with the scope of this contract? How well are the roles of the key members of the team clearly defined and how well do these definitions support the delivery of the contract? Do they have all the required licenses and registrations and/or certifications? What other essential training and/or experience do they have that uniquely supports their ability to perform the work? **(12 points)**

Points ____

- d. Did the consultant specify who will be responsible for the construction cost estimating, schedule review, and Independent Construction Expert (ICE) functions of the contract? How does the individual's experience relate directly to the contract? How does the experience of these individuals relate directly to the contract? **(8 points)**

Points ____

- e. Did the consultant specify the key team members that will be conducting Risk Assessment? What essential training and/or experience do they have that uniquely supports their approach and methodology of Risk Assessment? **(4 points)**

Points ____

- f. How successfully does the team matrix demonstrate the ability, qualifications and time commitment of each key team member to complete contract requirements? How has the consultant demonstrated that there is sufficient

time to be committed by key staff to successfully complete contract requirements? What is the degree to which key staff members are currently involved with other projects? **(3 points)**

Points ____

CONTRACT TEAM TOTAL POINTS ____

3. FIRM CAPABILITY (Maximum 20 points)

- a. What level of experience as a Prime Consultant does the firm have that is relevant to this contract? Are the firm's employees with the relevant experience participating in this contract? What relevant similar sized projects or contracts has the firm worked on in the past? Were most of the projects or contracts completed by the proposing Prime Consultant? What is the degree of familiarity the Prime Consultant demonstrates with required standards and procedures? What level of expertise and commitment does the firm demonstrate to successfully complete the requirements of this contract? **(6 points)**

Points ____

- b. What is the degree to which the Subconsultant(s) included on this team have the technical experience, available personnel and record of performance appropriate for their anticipated roles? How did the firm fit the subconsultant's qualifications/duties into the overall picture? **(5 points)**

Points ____

- c. Has the firm demonstrated financial and staff resource capacity for this contract the length of time it has been in business and its ability to complete contracts or tasks of similar size and complexity? In what way has the consultant shown that there will be qualified personnel available to complete this contract and tasks? **(5 points)**

Points ____

- d. How will the Prime Consultant's quality control program ensure a high quality final product? How has the Consultant's quality control program been successfully used on other similar sized and type projects in the past? **(4 points)**

Points ____

FIRM CAPABILITY TOTAL POINTS ____

SECTION VII – ON-CALL CONTRACT TASK ORDER ASSIGNMENT – QUALIFICATION BASED
ADOT CONTRACT NUMBER: 2026-008

On-Call Contracts are used at the discretion of ADOT to expedite A&E projects and construction administration tasks. The award of On-Call Contracts administered by ADOT does not constitute a representation of any particular amount of work or guarantee any work will ultimately be awarded to or be performed by the Consultant. Consistent with 23 CFR Part 172, Task Orders awarded under this contract will be awarded to consultants on a competitive (qualifications) basis and not require additional submittals by Consultants under contract.

Awarding of On-Call Contracts

ADOT may select up to five prime Consultants among those submitting SOQs for further consideration. Consultants interested in performing services under this contract must be qualified, experienced, capable, and available to provide services in any District of the State for the duration of the contract period.

The selection of Consultants for this contract will be in accordance with a Qualifications-Based Selection (QBS) process. Each Consultant will submit a Statement of Qualifications (SOQ) following the format requirements outlined in **Section IV and Section V** of this RFQ. Each Consultant's SOQ will be reviewed and scored based on the responses to the information documented in their SOQ and based on the Evaluation Criteria outlined in **Section V and Section VI** of this RFQ. Once all SOQ's are evaluated and scored, ADOT will rank Consultants based on the compilation of the Department's evaluation scores and comments. ADOT will then enter into negotiations with the most highly qualified firm(s) and execute On-Call Contracts upon successful negotiations with the selected firms.

Awarding of Task Orders

Consistent with 23 CFR Part 172, Task Orders will be assigned to Consultants on a competitive (qualifications) basis.

- Upon determination of a need for a Task Order for a Consultant to perform services under this contract, ADOT will review the SOQ of each Consultant that has executed a 2026-008 contract with ADOT.
- The specific project circumstances that exist for the Task Order will be evaluated based on the On-Call Consultant's original SOQ.
- The specific attributes aligning with the Task Order will be documented in the On-Call Selection Form by the ADOT Contract Manager and another ADOT group stakeholder for each Consultant's SOQ. The On-Call Selection Form is located in Exhibit 1 below.
- Once all submissions are evaluated, ADOT will rank the prime Consultants according to the On-call Selection Form. ADOT will then enter into negotiations with the most highly qualified firm(s) and execute a Contract Modification upon successful negotiations. ADOT's evaluation and selection of Task Order awards are not eligible to be protested and ADOT's decision is final.

Exhibit 1 - On-Call Selection Form

On-Call Selection Form	
Project Name	
Project (Tracs)	
On-Call Consultant	
Attributes pertaining to the specific project circumstances that exist:	
Firm's Ranking	

Task Order Selection Notification

Upon request, and upon selection of a Consultant to provide services on a Task Order, the Department will take the following actions in the release of the selection results:

- Release the name of the prime Consultant selected to provide services on the Task Order.
- The top three ranked prime Consultants will be provided with the results of the top three rankings.
- If the first ranked prime Consultant declines the Task Order, the second ranked prime Consultant will be notified and be awarded the Task Order, if this second ranked prime Consultant declines the Task Order the third ranked prime Consultant will be awarded the Task Order, if this third ranked prime Consultant declines the Task Order the fourth ranked prime Consultant will be awarded the Task Order, if this fourth ranked prime Consultant declines the Task Order the fifth ranked prime Consultant will be awarded the Task Order, as applicable.
- The composition and identities of the Department's personnel performing evaluations will not be released to any proposer, non-proposer, or to the public at any time. Likewise, any written documentation relating to the selection will not be attributable to any individual performing or contributing to evaluations.

Negotiation and Execution of Contract Task Orders

Upon ADOT's selection and acceptance from the Consultant:

1. The ADOT Contract Manager or ADOT Project Manager will provide the Scope of Work to the selected Consultant.
2. The Consultant shall prepare and submit a cost proposal, including personnel availability, a detailed scope of work, project schedule and cost derivation/proposal for the scope of work utilizing the items of cost contained in the contract.
3. ADOT will review the cost estimate and personnel availability to ensure the proposed work-hour estimates and costs are reasonable in comparison to ADOT's estimate and the costs are in compliance with the on-call contract. If necessary, the work- hours will be negotiated to an acceptable level.
4. Upon successful negotiation of the Task Order Scope of Work and costs, ADOT will verify compliance with all contract terms and a Contract Modification will be executed, which will serve as a Notice to Proceed (NTP).

The maximum contract value for this On-Call Contract is **\$125,000,000** (combined for all prime Consultants). This maximum contract value shall not be exceeded without prior approval from the ECS Manager. ADOT makes no guarantee as to the amount of work that will be required, or assigned, during the term of this contract.

This On-Call Contract will be established for one year, with an option to renew the contract for four additional one-year extensions at ADOT's discretion. Thirty-six months after the NTP, no new tasks shall be assigned without the ECS Manager's written approval, and when it is determined to be in the best interest of the State. ADOT makes no guarantee as to the amount of work that will be required or assigned during the term of this contract. The task orders assigned in the first year may take longer to complete than task orders assigned in subsequent years of the contract. Task orders assigned in the third year of the contract will be limited to a 12 month maximum schedule. No task orders will be assigned to this contract that have a schedule longer than 1460 calendar days (four years).

NOTE: If any of the following links are inoperable, please copy and paste the following link to visit the ECS webpage, where most of the required documents needed for SOQ submittal are located: <https://azdot.gov/business/engineering-consultants>

SECTION VIII – DBE SOQ submittal requirements and Program Information and Forms

SOQ Submittal Requirements:

AZ UTRACS Registration

Prime Consultants and subconsultants will be registered in AZ UTRACS:

<https://utracs.azdot.gov/AzUtracsRegistration/>

AND

SOQ Bidder's/Proposer's Solicitation List BECO Confirmation email

Shall be completed online through AZ UTRACS and the confirmation email from ADOT BECO shall be submitted with the Consultant's Statement of Qualifications:

<https://utracs.azdot.gov/BiddersListInfo/>

Program Information and forms:

DBE Program Information

To review the DBE Program Information, use the following link:

<https://azdot.gov/business/engineering-consultants/disadvantaged-business-enterprise-dbe-program-ec>

ADOT DBE & OJT Online Reporting System (ADOT DOORS)

To confirm DBE certification and work certified to perform, use the following link:

<https://adotdoors.dbesystem.com/>

On-Call Contract DBE Goal Commitment Form

To review the DBE Goal Commitment Form, use the following link:

<https://azdot.gov/sites/default/files/2023-05/204PS-On-Call-Assurance-Commitment.pdf>

DBE Intended Participation Affidavit (Consultant)

To review the DBE Intended Participation Affidavit, use the following link:

<https://apps.azdot.gov/files/beco/adotcompliance/Professional-Services/206PS-DBE-Intended-Participation-Summary-Affidavit.pdf>

DBE Intended Participation Affidavit (Subconsultant)

To review the Subconsultant Intended DBE Participation Affidavit, use the following link:

<https://apps.azdot.gov/files/beco/adotcompliance/Professional-Services/205PS-DBE-Intended-Participation-Affidavit.pdf>

Certificate of Good Faith Efforts Form

To review the Certificate of Good Faith Efforts Form, use the following link:

<https://apps.azdot.gov/files/beco/adotcompliance/Professional-Services/203PS-Certification-of-GOOD-FAITH-EFFORT.pdf>

SECTION IX – Standards of Conduct And Conflict of Interest

To review the ECS Guidelines, Section 1.08, for all Standards of Conduct and Conflict of Interest statutes and policies, use the following link:

<http://www.azdot.gov/docs/default-source/ecs/ecs-consultant-contract-manual.pdf>

SECTION X – Lobbying Certification

To review the Lobbying Certification, use the following link:

<https://azdot.gov/sites/default/files/2019/06/lobby-certification.pdf>

SECTION XI – Project Summary/Reference Material Availability

There are no reference material documents regarding this contract, at this time.

SECTION XII- SOQ Submittal Guidelines

Submittals shall be emailed to: ECSOQ@azdot.gov. SOQs emailed to any other address will not be accepted.

SECTION XIII – SOQ Proposal Certifications Form

To review, complete and submit the SOQ Proposal Certifications Form (15-point checklist) with the SOQ, use the following link:

<https://azdot.gov/sites/default/files/media/2022/02/soq-certifications-form-2-11-2022.pdf>

SECTION XIV – Payment Report Format

To review the Cost Plus Fixed Fee by Task Order Payment Report Format, use the following link:

<https://azdot.gov/sites/default/files/2019/06/cost-plus-fixed-fee-by-to.xls>

SECTION XV – ADOT Advance Agreement Guideline and ADOT Consultant Audit Criteria

To review the ADOT Consultant Audit Guideline, use the following link:

<https://azdot.gov/sites/default/files/2019/05/consultant-audit-guide.pdf>

To review the ADOT Consultant Audit Criteria (Information Bulletin 08-03), use the following link:

<https://apps.azdot.gov/files/ecs/bulletins/08-03.pdf>

SECTION XVI – ECS Consultant Evaluation Program Guidelines

To review Consultant Evaluation Program Guidelines, use the following link:

<https://azdot.gov/sites/default/files/2019/06/evaluation-program-guidelines.pdf>

And information Bulletin IB 20-04:

<http://azdot.gov/sites/default/files/media/2020/04/IB%2020-04.pdf>

SECTION XVII – ECS Consultant Contract Manual

To review the ECS Consultant Contract Manual, use the following link:

<https://azdot.gov/sites/default/files/2019/06/ecs-consultant-contract-manual.pdf>

SECTION XVIII – Contract Boilerplate

To review the Cost Plus Fixed Fee by Task Order sample contract, use the following link:

https://azdot.gov/sites/default/files/2024-11/20xx-xxx%20510-Original%20Contract%20%28CPFFTO%20RC_RN%29-Rev%20Aug%202024.pdf

SECTION XIX – Dictionary of Standardized Work Tasks

To review the Dictionary of Standardized Work Tasks, use the following link:

<https://azdot.gov/sites/default/files/2025-08/dictionary-of-standardized-work-tasks.pdf>

SECTION XX – ADOT Participation in Boycott of Israel – Consultant Certification Form

Use the following link to review, print and complete the ADOT Participation in Boycott of Israel – Consultant Certification Form:

<https://azdot.gov/sites/default/files/media/2020/05/Participation%20in%20Boycott%20of%20Israel%20-%20Consultant%20Certification%20Form%20May.pdf>

SECTION XXI – ADOT Forced Labor of Ethnic Uyghurs Ban– Consultant Certification Form

Shall be completed and submitted with the Consultant's SOQ:

<https://azdot.gov/sites/default/files/media/2022/11/Forced%20Labor%20of%20Ethnic%20Uyghurs%20Ban%20Certification%20Form-ECS.pdf>

SECTION XXII – Consultant Information Pages (CIP)

Shall be completed and submitted with the Consultant's SOQ:

<https://azdot.gov/sites/default/files/2024-05/Consultant%20Information%20Pages%20-%20Race%20Neutral%20Contract-Rev%202%2005062024.pdf>

SECTION XXIII – STANDARDS OF CONDUCT AND CONFLICT OF INTEREST

**** The following contract specific direction regarding Standards of Conduct and Conflict of Interest (specifically use of supplemental services consultants and temporary-technical engineering personnel) is intended for application to this contract only and supersedes “Section 1.08 – Standards of Conduct and Conflict of Interest” of the ADOT ECS “Consultant Contract Manual” (dated June 2016).**

1.08 STANDARDS OF CONDUCT AND CONFLICT OF INTEREST

ADOT staff, and persons or entities working on behalf of a Consultant working on an ADOT project are required to adhere to Standard of Conduct and Conflict of Interest laws and guidelines contained in the Arizona Revised Statutes (A.R.S.) § 38-501 through 38-511, ADOT Policies and Procedures PER - 6.02 (see the ADOT and State of Arizona websites for most current versions of these policies), 23 CFR 1.33, 2 CFR 200.112 and 2 CFR 200.318 which establish minimum standards for the conduct of public officers, employees and former employees who are, or may become, involved with a contract or decision, in their official capacity, which might affect their personal pecuniary interest or those of their relatives, i.e., spouse, children, grandchildren, parent, grandparent, brother, sister and their spouses, or the parent, brother or sister or child of one’s spouse, A.R.S. § 38-502 (9). Furthermore, ADOT’s Conflict of Interest Policy (PER 6.02) relating to the acceptance of gifts or gratuities is fully applicable to the contract process. This policy is available to all interested parties through the ADOT website.

Some specific issues related to these Conflict of Interest statutes, policies and standards are listed below.

A. Application to current and former ADOT employees.

1. A.R.S. § 38-504(A) states that:

“A public officer or employee shall not represent another person for compensation before a public agency by which the officer or employee is or was employed within the preceding twelve months or on which the officer or employee serves or served within the preceding twelve months concerning any matter with which the officer or employee was directly involved

And

In which the officer or employee personally participated during the officer’s or employee’s employment or service by a substantial and material exercise of administrative discretion.”

ADOT may consider positions that the former employee held, and determine decision making opportunities that the person had in that position concerning the particular project.

Consultants shall be required to disclose and identify former ADOT employee(s) listed in proposals that have left ADOT in the preceding twelve months and certify that he/she/they have not made any material decisions about the proposed project they are submitting/proposing for or working on (SOQ’s, contracts), while employed by ADOT. Information required to be submitted for consideration include:

- a. Dates of employment
- b. Position(s) held while employed at ADOT
 - If a former ADOT employee is employed by a Consultant or Subconsultant who has an active ADOT contract and the former employee was the Project Manager on the project or the contract, or a member of the selection panel for any contract on the project, the employee is prohibited from working on the contracts.
- c. Project(s) worked on while employed at ADOT
- d. Certification that the former employee made no material decision or served in any significant procurement role(s) associated with the project the firm is submitting a Statement of Qualifications (SOQ) for consideration or in which they are or will be working on.

Submit the above information on company letterhead five business days before the SOQ due date to ECSSOQ@azdot.gov.

2. Current or former ADOT employees who serve, or have served, in a Significant Procurement Roles (as defined in ARS 41-741 and ARS 41-2503) must maintain strict confidentiality and not disclose or distribute any information regarding contract procurement procedures, proposal or contract documentation before, during or after the evaluation process (ARS 41-2578 and ARS 41-2616). Additionally:
 - a.) It is unlawful for a person holding a Significant Procurement Role to accept an offer of employment or have employment discussion with any person or entity lobbying for or potentially responding to a solicitation until one year after the award of the contract.
 - b.) Persons holding a Significant Procurement Role must complete and sign a statement before starting any participation in the selection/negotiation process disclosing any conflict of interests required by ARS 38-503, 41-2534, 41-2537, 41-2538, 41-2578, 41-2616C, 41-753, and 41-2517. This includes, but is not limited to, disclosing if the person has any financial or other interest in the consultant selected for award by:
 - a. The employee, officer or agent;
 - b. Any member of his/her immediate family;
 - c. His/her partner;
 - d. An organization that employs or is about to employ any of the above.
3. Consistent with 2 CFR 1.33, no official or employee serving in a Significant Procurement Role shall have, directly or indirectly, any financial or other personal interest in any contract or subcontract in a project they are or will be working on.
4. In order to avoid conflicts of interest, current ADOT employees shall not be employed by a Consultant or Subconsultant to work on ADOT contracts. Current ADOT employees shall not be included in a Statement of Qualifications proposal for an ADOT consultant contract as an owner, or member of the Consultant's or Subconsultant's team.
5. If a former ADOT employee is employed by a Consultant which has an active ADOT contract for which the former ADOT employee had a Significant Procurement Role, the ADOT employee is prohibited from working on these contracts.
6. Only current ADOT employees are permitted to serve on Consultant Selection Panels. If a need is identified for a selection panel to include a member who is not employed by ADOT, the ECS Manager and State Engineers Office must approve this request. For Local Public Agencies (LPA) projects, one employee from the Agency may serve on the Consultant Selection Panel upon approval from the ECS Manager and State Engineer's Office.

An ADOT employee who fails to properly disclose conflicts of interest or violates any of these requirements may be suspended, terminated, or subject to civil penalty in accordance with State Statutes.

B. Application to Consultants (including Supplemental Service Consultants)

*** The term "Consultant" or "Subconsultant" in this section applies to the firm and the employees of the firm.*

1. A Consultant and/or a Subconsultant, involved in the preparation of DCR/EA or other scoping documents, must complete to "Draft Final" and ADOT must publish these documents a minimum of 60 days prior to the advertisement of a Request for Qualifications for subsequent phases of work. Otherwise the Consultant or Subconsultant is not eligible to submit to perform services on these subsequent phases.
2. Supplemental Service Consultants or Temporary-Technical Engineering Personnel performing services for ADOT may:
 - a. Be included in a Statement of Qualifications in any role.
 - b. Work on projects outside the supplemental services that they are currently performing for ADOT. However, conditions cannot exist in which their work is in conflict with current work obligations being performed for ADOT as a contracted Supplemental Services Consultant or Temporary-Technical Engineering Personnel.
3. Supplemental Service Consultants performing services for ADOT may not serve in any role on projects for which their firm is performing services for ADOT.

4. Supplemental Service Consultants performing services for ADOT may:
 - a. Serve as an ADOT Project Manager while a project DCR/EA or other scoping document is being prepared. Serving in this capacity does not prohibit the firm, which the supplemental services consultant is employed by, from submitting a Statement of Qualifications (SOQ) or Statement of Interest (SOI), or including the individual in their SOQ/SOI for subsequent services on that same project.
 - b. Assist the Department in preparing a contract scope of services. If a Supplemental Services Consultant assists in preparing a contract scope of services, the contract RFQ or Task Order Request for SOI will be advertised for a longer period of time. The firm the supplemental services consultant is employed by is not prohibited from submitting an SOQ/SOI, or including the individual in their SOQ/SOI for the contract/Task Order which the scope of services was prepared for.
5. Supplemental Service Consultants may not participate in preparing the following parts of the RFQ/SOI: type of contract, fixed fee, contract duration, and evaluation criteria, questions, and points.
6. Consultants and/or Subconsultants contracted to design any portion of a project may not propose to be the contractor or a subcontractor for the construction phase of the project.
7. Consistent with 23 CFR 1.33:
 - a. No engineer, attorney, appraiser, inspector, or other person performing services for the Department in connection with a project shall have, directly or indirectly, a financial or other personal interest other than their employment or retention by the State in any contract or subcontract in connection with such project.
 - b. No officer or employee of such person retained by the Department shall have, directly or indirectly, any financial or other personal interest in any real property acquired for a project unless such interest is publicly disclosed and such officer or person has not participated in such acquisition for and on behalf of the Department.

Items 1-6 in subsection b above also applies to any work performed or to be performed by related entities. "Related Entities" mean firms (regardless of ownership structure) with any common ownership, directly or indirectly through parent companies, subsidiaries or otherwise with any common managers, officers, or directors. A publicly traded company is not related to another entity if the common ownership, direct or indirect, does not exceed 1% of the outstanding stock of the publicly traded company and there are no common managers, officers or directors.

Consultants or Subconsultants which participated in preparing documents related to a contract solicitation shall not receive any direct benefit from the utilization of those documents.

Any request for waiver from the restrictions related to Supplemental Service Consultants must be submitted to ECS describing the nature of their involvement well in advance of the proposal submittal or work assignment for determination on the matter. Decisions on waivers and conflicts of interest will be determined by the Department.

If a consultant violates any of these requirements, or those outlined in State Statutes or Federal Rules and Regulations, the contract may be terminated and the firm may not be eligible to submit proposals in the future to perform services for the Department. The State will disclose any conflict of interest matters to the FHWA.

SCOPE OF WORK FOR
ON-CALL ALTERNATIVE DELIVERY AND
VALUE METHODOLOGY ADMINISTRATION CONSULTANT(S)

CONTRACT NUMBER 2026-008



SEPTEMBER 2025

SECTION XXIV - SCOPE OF WORK

GENERAL INFORMATION

Alternative Contract Delivery Administration

The Contract Scope of Work describes work requirements that will be assigned to the On-Call Alternative Contract Delivery and Value Methodology Administration Consultant(s) by Task Order. When the Department determines that a highway construction project will be procured through one of the alternative project delivery methods such as, Design Build (DB), **Public Private Partnership (P3)**, or Construction Manager at Risk (CMAR), the Department may issue a Task Order to the consultant to assist in the development process or the construction administration process.

Value Engineering Studies

The consultant shall provide a VE Team Leader certified by SAVE International as a Certified Value Specialist (CVS) to provide value engineering for selected highway and bridge construction projects, or processes. The VE Team Leader must have sufficient experience related to roadway or bridge design, construction and/or maintenance. The consultant will facilitate the value engineering studies, prepare VE study reports and assist in presenting value engineering findings for projects identified by the Department. In most cases VE analyses will occur at the Stage II (30% Plans Submittal) Design Stage or the Design Concept Report (DCR) Stage.

The consultant may not sublet or assign the Value Engineering team leader position without written approval from ADOT's Value Engineering (VE) Manager.

The consultant may be requested to provide some or all of the VE study team members, as directed by the VE Manager, dependent on availability of ADOT personnel. The team members supplied by the consultant shall have significant highway design and construction experience in the areas specified for each project. All proposed team members must be approved by ADOT's VE Manager.

Purpose and Need

ADOT recognizes the need for the prudent use of resources and revenues while providing a quality transportation program. Value Engineering (VE) is a function-oriented, systematic team approach employed to analyze design projects. The results yield recommendations that improve value/performance/quality in design, construction, and cost-effectiveness in our transportation program. ADOT intends to select one or more consulting firms to provide VE services.

The Federal Highway Administration (FHWA), under 23 CFR Part 627, requires the application of Value Engineering for all federal-aid highway projects on the National Highway System (NHS) over a specified dollar value. ADOT, with the concurrence of the FHWA, requires a VE study on any project with an estimated cost of \$50 million or more, or a bridge project on the National Highway System with an estimated cost of \$40 million or more. Other complex projects below this threshold, having the potential for yielding increased value and cost savings, may also be considered for a VE study.

Location

All projects on which the consultant may work will be located on routes within the State of Arizona. The specific location of the construction project will be identified in the Task Order.

Value Engineering tasks may be located in the Phoenix Metropolitan area or around the state at the various Districts depending on project location and size.

Alternative Delivery / Major Project tasks may be located anywhere in the state depending on both project and ADOT staff location. Consultant staff will be required to co-locate with ADOT staff from procurement to project closeout.

A major portion of the Department's project management activities are conducted in Phoenix. Therefore, the consultant will be required to participate in meetings at locations in the Phoenix area. Occasionally meetings are called on short notice. In addition, meetings can be expected at the various Engineering District offices, at the site of the construction project, and at other locations within the State.

Description

The consultant may be called upon to perform any of the following activities:

- Advise the Department in matters relating to Public Private Partnerships.
- Advise the Department in matters relating to Alternative Project Delivery such as Guaranteed Maximum Price, Allowances, Design Build, Job Order Contracting, and issue resolution. The General Engineering Consultant (GEC) will be an extension of staff.
- The GEC will assist the Department of all parts of the procurement process for the delivery method chosen.
- Evaluate the contractor's schedules.
- Evaluate the contractor's cost estimates and cost models.
- Prepare independent construction cost estimates based on market conditions with labor, equipment and material components, at a level of detail greater than that derived from a compilation of historical pricing.
- Evaluate the contractor's proposed equipment.
- Evaluate the contractor's proposed staff.
- Perform site visits.
- Advise the Department concerning the phasing of construction.
- Review traffic control proposals and subsequent field applications.
- Review construction plans for constructability.
- Assist the Department in risk assessment, facilitation of value engineering workshops, and value analysis studies.
- Advise the Department concerning expected costs and efficiencies.
- Provide project development and construction management advice during the design process independently of the design consultant or the construction contractor.
- Assist in the contractor selection process.
- Assist the Department in its negotiations with the contractor.
- Participate in meetings with the Department, and participate as a representative of the Department in meetings with Designers and Contractors.
- Perform other related duties as identified in the Task Order.
- Review and Evaluate Contractor's Claims
- Provide Subconsultant support to supplement the Departments staff

Task Orders

The State Construction Engineer is the Project Manager (PM) for this contract. The State Construction Engineer may delegate, or transfer, authority to other Department employees to serve as Project Manager, issue task orders, and approve payments.

ADOT will prepare an individual Task Order Scope of Work (SOW) for each project for which alternative project delivery assistance or value engineering services are assigned to the consultant. The Task Order SOW will define the construction project location and the desired time frame for contract activities.

Upon assignment of the consultant for a task order, the consultant shall provide to the Project Manager a cost proposal for its work using the cost plus fixed fee rates established for the contract within ten days. The Task Order will be paid at hourly rates approved in the contract. It is not a lump sum contract. However, the consultant's cost proposal shall include a tabulation of the cost plus fixed fee, estimated hours for each classification, must identify each person and estimate the direct expenses with a total estimated cost to the Department for the Task Order.

When the Project Manager and the Consultant agree to the costs for the Task Order, a Contract Modification will be submitted to and executed by ECS. The receipt by the consultant of a fully executed Contract Modification will serve as notice to proceed on the Task Order.

The length of the consultant's services on a task order will be determined by the Department. The duration of task orders may vary from a few weeks to several months. The consultant may be notified by the PM to cease all work before a task order has been completed. The consultant will be reimbursed for all work completed to the time such notification is received.

Reference Material

The authority of the Department to issue contracts under an alternative project delivery method comes from the Arizona Revised Statutes Title 28 Chapter 20 Article 13 – Alternative Contracting Procedures.

The Department maintains a number of reference materials that serve to assist designers and construction contractors in the performance of their work. Many of these reference materials are available at the Department's Engineering Records Section. In addition, the Department's website contains a significant amount of information concerning the mission, goals and work of the Agency. Three reference documents that may be of particular use to the consultant under this contract are the current ADOT Standard Specifications for Road and Bridge Construction, the ADOT Project Development Process Manual, and the ADOT Construction Manual.

ATTACHMENT A

LABOR CLASSIFICATION LIST

In an attempt to standardize the Labor Classifications ADOT allows for all projects, the following classifications anticipated to be used for the proposed contract are as follows:

Project Manager-Sr.
Project Manager
Project Engineer-Sr.
Project Engineer(s) (Transportation, Drainage, Bridge, Roadway, and Traffic)
Project Administrator
Cost Estimator-Sr.
Cost Estimator
Misc. Other/ADOT Pre-Approved (Independent Construction Expert)
Misc. Other/ADOT Pre-Approved (Schedule Reviewer)
Misc. Other/ADOT Pre-Approved (VE Team Leader certified by SAVE International as a Certified Value Specialist (CVS))
Misc. Other/ADOT Pre-Approved (Labor Compliance Specialist/Staff)
CADD Technician
Administrative
Registered Landscape Architect
Registered Land Surveyor-Sr.
Registered Land Surveyor
T3 Resident Engineer-Sr.
T2 Resident Engineer
S9 Tech V
S7 Tech IV
S6 Tech III
S5 Tech II
S4 Tech I
S1 Engineer Worker

NOTE: All Labor Classifications that require professional registration shall be currently registered with the Arizona Board of Technical Registration.



APPENDIX A

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

- 1. Compliance with Regulations:** The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation, the *Federal Highway Administration*, as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
- 2. Non-discrimination:** The contractor, with regard to the work performance by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.
- 3. Solicitations for Subcontracts, Including Procurements of Materials and Equipment:** In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor's obligations under this contract and the Acts and Regulations relative to Non- discrimination on the grounds of race, color, or national origin.
- 4. Information and Reports:** The contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the *Federal Highway Administration* to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor will so certify to the Recipient or the *Federal Highway Administration*, as appropriate, and will set forth what efforts it has made to obtain the information.
- 5. Sanctions for Noncompliance:** In the event of a contractor's noncompliance with the Non-discrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the *Federal Highway Administration* ,may determine to be appropriate, including, but not limited to:
 - a. withholding payments to the contractor under the contract until the contractor complies; and/or
 - b. cancelling, terminating, or suspending a contract, in whole or in part.
- 6. Incorporation of Provisions:** The contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor will take action with request to any subcontract or procurement as the Recipient or the *Federal Highway Administration* may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that **if** the contractor becomes involved in, or is threatened with litigation by a subcontractor or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.



APPENDIX E

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees to comply with the following non-discrimination statutes and authorities; including but not limited to:

Pertinent Non-Discrimination Authorities:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d *et seq.*, 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 *et seq.*), (prohibits discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 *et seq.*), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 *et seq.*), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 USC § 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;
- The Federal Aviation Administration's Non-discrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low- Income Populations, which ensures discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1687 *et. seq.*).